

Use this form to irrevocably transfer assets held at financial institutions other than Charles Schwab & Co. Inc. into your Schwab Charitable donor-advised fund account.

## 1. Initiate the Transfer of Assets

Choose one of the options below to transfer assets to your Account. All parties who are registered on the transferring firm's Account(s) must sign part 4 of this form. If transferring assets from more than one institution, complete one Transfer of Assets form for each transferring institution.

**I hereby instruct Schwab Charitable to initiate the transfer by\*:**

Delivering to Schwab Charitable the following:

- Completed and signed New Account Application (new Accounts only)
- Copy of a recent statement from the transferring institution (this statement must be dated no more than 90 days prior to the date it is sent).
- Completed and signed Transfer of Assets form

\* Depending on the type of securities and how quickly the securities are transferred by the financial institution currently holding the investments, a typical transfer initiated by Schwab Charitable will take approximately three weeks.

**I will initiate the transfer of assets by:**

- Contacting the transferring financial institution directly for applicable procedures and forms.
- Instructing the transferring institution to provide any necessary information to Schwab Charitable using the delivery instructions in section 5 of this application.†

Delivering to Schwab Charitable the following:

- Completed and signed New Account Application (new Accounts only)

NOTE: If you are transferring a mutual fund from another institution and/or transferring stock directly from a transfer agent please refer to the top section and complete paperwork for Schwab Charitable to initiate the transfer.

† Should your asset custodian ask you to establish an Account using Schwab Charitable's name or address, please contact Donor Relations at 1-800-746-6216.

## 2. Transfer Details

\_\_\_\_\_

Name of Transferring Firm

\_\_\_\_\_

Account Number

\_\_\_\_\_

Name(s) on Account

\_\_\_\_\_

Name(s) on Account

\_\_\_\_\_

Firm Mailing Address

\_\_\_\_\_

Firm Mailing City, State, Zip Code

\_\_\_\_-\_\_\_\_-\_\_\_\_\_

Firm Telephone Number

**Schwab Charitable Account** (If this is a new Account, Schwab Charitable will fill out this section)

\_\_\_\_-\_\_\_\_-\_\_\_\_\_

Schwab Charitable Account Number

\_\_\_\_\_

Account Holder Name

\_\_\_\_\_

Account Holder Name

### 3. Specify Assets

**Stocks, Bonds, Mutual Funds or Other Assets**

Description of Assets/Name of Security	Symbol (if applicable)	Number of Shares <sup>‡</sup> (mandatory)	Approximate \$ Value (mandatory)	Cost Basis <sup>§</sup> Include both price and date information.	
				Purchase Date	Price per Share
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
			<b>Total</b>	_____	_____

**Cash Contribution:** \$ \_\_\_\_\_

Assets to be transferred may be noted in a spreadsheet which must be signed, dated and attached to this form.

<sup>‡</sup> Number of shares must be specified. Schwab Charitable will transfer assets based on the number of shares, not the approximate \$ value since security values may fluctuate. Fractional shares of stock are nontransferable and any fractional shares may be liquidated by the delivering firm upon the transfer of the whole shares. The delivering firm may or may not charge a fee for this liquidation.

<sup>§</sup> Cost basis information is optional.

### 4. Signatures and Dates – Mandatory

**By signing below, I/we acknowledge the existence of any differences in Account owners and/or Account type between the Account from which assets are being transferred and the Schwab Charitable Account. Each individual signatory is aware of these discrepancies and authorizes Schwab Charitable to accept the transfer of assets between unlike Accounts.**

I/we authorize the irrevocable transfer of ownership of the assets specified in section 3 of this form (including restricted stock) to Schwab Charitable. I/we understand that any contribution, once accepted by Schwab Charitable, represents an irrevocable contribution to Schwab Charitable and is not refundable to me/us for any reason. Schwab Charitable typically sells publically traded securities at the earliest date possible but may sell at any time.\*\*

If I/we find any errors or omissions relating to my Schwab Charitable Account, I/we must call Schwab Charitable immediately at 800-746-6216. I/we will notify Schwab Charitable no later than 10 days after the relevant communications are sent or made available to me/us. I/we understand that Schwab Charitable will not be liable for any losses that occur because of my/our failure to promptly notify Schwab Charitable of an error.

<input type="checkbox"/> X _____	_ _  /  _ _  /  _ _ _ _
Account Holder Signature	Date (mm/dd/yyyy)
<input type="checkbox"/> X _____	_ _  /  _ _  /  _ _ _ _
Account Holder Signature	Date (mm/dd/yyyy)

\*\* This applies to sales of securities for Core Accounts only. The Investment Advisor is responsible for sales of securities for the Professionally Managed Accounts.

